



# The Relational Model and Relational DB Constraints

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## Chapter 5

**Text book sections: All**



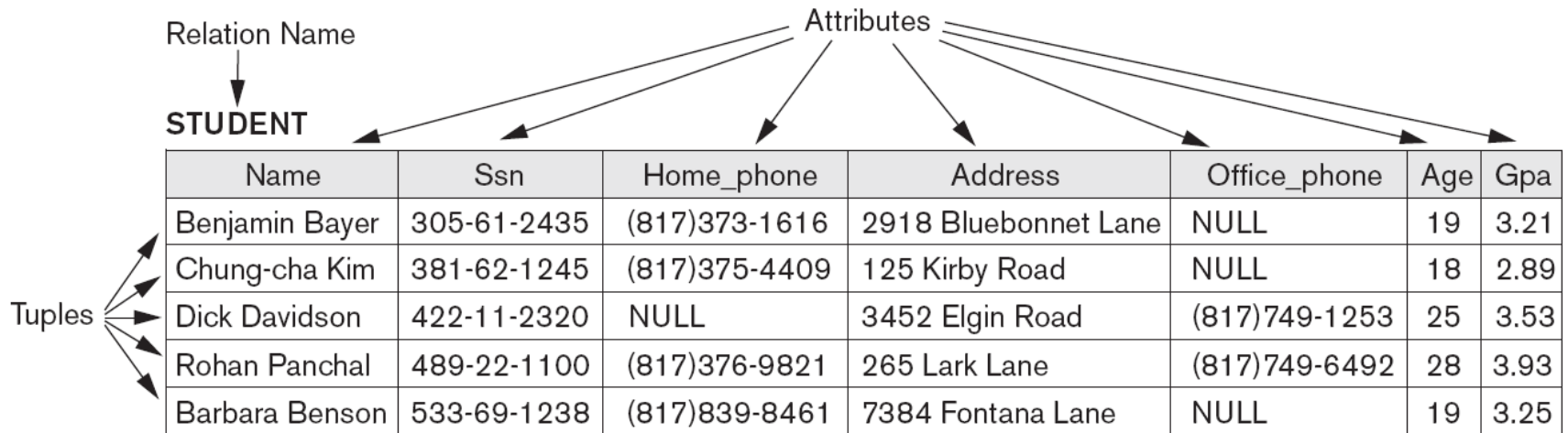
# Outline

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- The Relational Data Model (RDM)
- Relational Database (RDB) Constraints
- RDM Constraints and RDB Schemas
- Dealing with Constraint Violations

# Relational Model Concepts

- RDM represents data as a collection of relations



**Figure 3.1**

The attributes and tuples of a relation STUDENT.



# Domains, Attributes, Tuples, and Relations

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- **Domain**: Set of atomic values specified by a **Data type**
- **Atomic**: Each value indivisible
- **Relation schema  $R$** 
  - Denoted by  $R(A_1, A_2, \dots, A_n)$
  - Made up of a relation name  $R$  and a list of attributes,  $A_1, A_2, \dots, A_n$
- **Attribute  $A_i$** : Name of a role played by some domain  $D$  in the relation schema  $R$
- **Degree** (or **arity**) of a relation: Number of attributes in a relation
- **Cardinality**: Total number of values in domain



# Characteristics of Relations

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- **Relation is a set**
  - ordering of tuples in a relation is not important
  - Order of attributes is not that important
  - No two tuples can have the same combination of values for all their attributes.
- **Each value in a tuple is atomic:** Composite and multivalued attributes not allowed
- **NULL values:** Represent the values of attributes that may be unknown or may not apply to a tuple.



# Relational Model Notation

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- Relation schema  $R$  of degree  $n$ 
  - Denoted by  $R(A_1, A_2, \dots, A_n)$
- Uppercase letters  $Q, R, S$ 
  - Denote relation names
- Lowercase letters  $q, r, s$ 
  - Denote relation states
- Letters  $t, u, v$ 
  - Denote tuples



# Relational Model Notation

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- $n$ -tuple  $t$  in a relation  $r(R)$ 
  - Denoted by  $t = \langle v_1, v_2, \dots, v_n \rangle$
  - $v_i$  is the value corresponding to attribute  $A_i$
- Component values of tuples:
  - $t[A_i]$  and  $t.A_i$  refer to the value  $v_i$  in  $t$  for attribute  $A_i$
  - $t[A_u, A_w, \dots, A_z]$  and  $t.(A_u, A_w, \dots, A_z)$  refer to the subtuple of values  $\langle v_u, v_w, \dots, v_z \rangle$  from  $t$  corresponding to the attributes specified in the list



# Constraints

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- **Constraints are:**
  - Restrictions on the actual values in a database state
  - Derived from the rules in the miniworld that the database represents
- **Why use integrity constraints?**
  - To catch data-entry errors
  - As correctness criteria when writing database updates
  - To enforce consistency across data in the database
  - To tell the system about the data





# Types of Relational Model Constraints

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- **Inherent model-based constraints or implicit constraints**
  - Inherent in the data model. Example, duplicate tuples are not allowed in a relation
- **Schema-based constraints or explicit constraints**
  - Can be directly expressed in schemas of the data model
- **Application-based or semantic constraints or business rules**
  - Cannot be directly expressed in schemas
  - Expressed and enforced by application program



# Schema-based constraints or explicit constraints

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- Domain constraint
- Key constraint
- Primary key
  - Entity integrity constraint
- Referential integrity constraint
- Not null constraint



# Domain Constraints

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- **Typically include:**
  - Numeric data types for integers and real numbers
  - Characters
  - Booleans
  - Fixed-length strings
  - Variable-length strings
  - Date, time, timestamp
  - Money
  - Other special data types



# Key Constraints and Constraints on NULL Values

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- **Superkey:** No two distinct tuples in any state  $r$  of  $R$  can have the same value
- **Key:** A minimal superkey of  $R$ . Removing any attribute  $A$  from  $K$  leaves a set of attributes  $K$  that is not a superkey of  $R$  any more
- **Candidate key:** Relation schema may have more than one key
- **Primary key** of the relation: A designated candidate key. Other candidate keys are designated as **unique keys**



## Key Constraints and Constraints on NULL Values (cont'd.)

**CAR**

<u>License_number</u>	Engine_serial_number	Make	Model	Year
Texas ABC-739	A69352	Ford	Mustang	02
Florida TVP-347	B43696	Oldsmobile	Cutlass	05
New York MPO-22	X83554	Oldsmobile	Delta	01
California 432-TFY	C43742	Mercedes	190-D	99
California RSK-629	Y82935	Toyota	Camry	04
Texas RSK-629	U028365	Jaguar	XJS	04

**Figure 3.4**

The CAR relation, with two candidate keys: License\_number and Engine\_serial\_number.



# Entity, Referential Integrity, & Foreign Keys

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- **Entity integrity constraint:** No primary key value can be NULL
- **Referential integrity constraint**
  - Specified between two relations
  - Maintains consistency among tuples in two relations
- **Foreign key rules:**
  - The attributes in FK have the same domain(s) as the primary key attributes PK
  - Value of FK in a tuple  $t_1$  of the current state  $r_1(R_1)$  either occurs as a value of PK for some tuple  $t_2$  in the current state  $r_2(R_2)$  or is NULL



# Other Types of Constraints

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- **Semantic integrity constraints**
  - May have to be specified and enforced on a relational database
  - Use **triggers** and **assertions**
  - More common to check for these types of constraints within the application programs
- **Functional dependency constraint**
  - Establishes a functional relationship among two sets of attributes  $X$  and  $Y$
  - Value of  $X$  determines a unique value of  $Y$
- **State constraints** : Define the constraints that a valid state of the database must satisfy
- **Transition constraints**: Define to deal with state changes in the database



# Dealing with Constraint Violations

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- Operations of the relational model can be categorized into retrievals and updates
- Basic operations that change the states of relations in the database:
  - Insert
  - Delete
  - Update (or Modify)



### Figure 3.6

One possible database state for the COMPANY relational database schema.

#### EMPLOYEE

Fname	Minit	Lname	<u>Ssn</u>	Bdate	Address	Sex	Salary	Super_ssn	Dno
John	B	Smith	123456789	1965-01-09	731 Fondren, Houston, TX	M	30000	333445555	5
Franklin	T	Wong	333445555	1955-12-08	638 Voss, Houston, TX	M	40000	888665555	5
Alicia	J	Zelaya	999887777	1968-01-19	3321 Castle, Spring, TX	F	25000	987654321	4
Jennifer	S	Wallace	987654321	1941-06-20	291 Berry, Bellaire, TX	F	43000	888665555	4
Ramesh	K	Narayan	666884444	1962-09-15	975 Fire Oak, Humble, TX	M	38000	333445555	5
Joyce	A	English	453453453	1972-07-31	5631 Rice, Houston, TX	F	25000	333445555	5
Ahmad	V	Jabbar	987987987	1969-03-29	980 Dallas, Houston, TX	M	25000	987654321	4
James	E	Borg	888665555	1937-11-10	450 Stone, Houston, TX	M	55000	NULL	1

#### DEPARTMENT

Dname	<u>Dnumber</u>	Mgr_ssn	Mgr_start_date
Research	5	333445555	1988-05-22
Administration	4	987654321	1995-01-01
Headquarters	1	888665555	1981-06-19

#### DEPT\_LOCATIONS

<u>Dnumber</u>	<u>Dlocation</u>
1	Houston
4	Stafford
5	Bellaire
5	Sugarland
5	Houston

### Figure 3.6

One possible database state for the COMPANY relational database schema.

#### WORKS\_ON

<u>Essn</u>	<u>Pno</u>	Hours
123456789	1	32.5
123456789	2	7.5
666884444	3	40.0
453453453	1	20.0
453453453	2	20.0
333445555	2	10.0
333445555	3	10.0
333445555	10	10.0
333445555	20	10.0
999887777	30	30.0
999887777	10	10.0
987987987	10	35.0
987987987	30	5.0
987654321	30	20.0
987654321	20	15.0
888665555	20	NULL

#### PROJECT

<u>Pname</u>	<u>Pnumber</u>	Plocation	Dnum
ProductX	1	Bellaire	5
ProductY	2	Sugarland	5
ProductZ	3	Houston	5
Computerization	10	Stafford	4
Reorganization	20	Houston	1
Newbenefits	30	Stafford	4

#### DEPENDENT

<u>Essn</u>	<u>Dependent_name</u>	Sex	Bdate	Relationship
333445555	Alice	F	1986-04-05	Daughter
333445555	Theodore	M	1983-10-25	Son
333445555	Joy	F	1958-05-03	Spouse
987654321	Abner	M	1942-02-28	Spouse
123456789	Michael	M	1988-01-04	Son
123456789	Alice	F	1988-12-30	Daughter
123456789	Elizabeth	F	1967-05-05	Spouse

# EMPLOYEE

Fname	Minit	Lname	<u>Ssn</u>	Bdate	Address	Sex	Salary	Super_ssn	Dno
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# DEPARTMENT

Dname	<u>Dnumber</u>	Mgr_ssn	Mgr_start_date
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# DEPT\_LOCATIONS

<u>Dnumber</u>	<u>Dlocation</u>
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# PROJECT

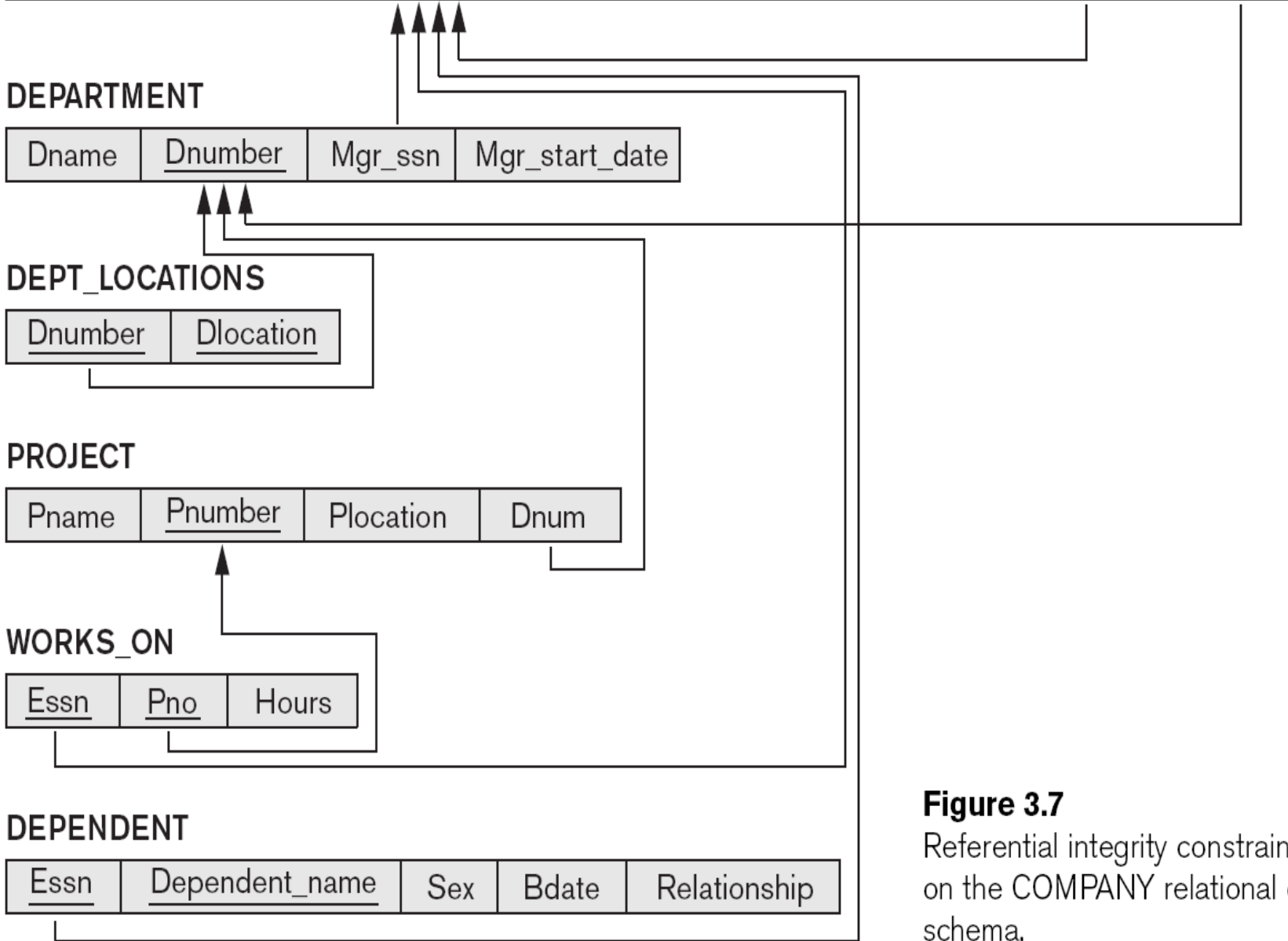
Pname	<u>Pnumber</u>	Plocation	Dnum
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# WORKS\_ON

<u>Essn</u>	<u>Pno</u>	Hours
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# DEPENDENT

<u>Essn</u>	<u>Dependent_name</u>	Sex	Bdate	Relationship
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**Figure 3.7**

Referential integrity constraints displayed on the COMPANY relational database schema.



# The Insert Operation

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- Provides a list of attribute values for a new tuple  $t$  that is to be inserted into a relation  $R$
- Can violate any of the four types of constraints
- If an insertion violates one or more constraints
  - Default option is to reject the insertion



# The Delete Operation

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- Can violate only **referential integrity** . If tuple being deleted is referenced by foreign keys from other tuples
  - **Restrict**: Reject the deletion
  - **Cascade**: Propagate the deletion by deleting tuples that reference the tuple that is being deleted
  - **Set null** or **set default**: Modify the referencing attribute values that cause the violation



# The Update Operation

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- If attribute to be modified is not part of a primary key nor of a foreign key
  - Usually causes no problems
- Updating a primary/foreign key
  - Similar issues as with Insert/Delete



# Summary

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- Characteristics differentiate relations from ordinary tables or files
- Classify database constraints into:
  - Inherent model-based constraints
  - explicit schema-based constraints
  - application-based constraints
- Modification operations on the relational model:
  - Insert, Delete, and Update



# Disclaimer

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- Parts of the lecture slides contain original work from the authors of your text book





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**Thank You**